

# **FEE TRANSMITTAL SHEET FOR FY 2002)**

MAY 19 2003  
PATENT & TRADEMARK OFFICE

Complete if Known

Application No. 09/782,892  
Filing Date February 13, 2001  
First Named Inventor Scott E. Moore  
Group Art Unit 3723  
Examiner Maurina T. Rachuba  
Atty. Docket Number 500170.05

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TECHNOLOGY CENTER R3700

## **METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	750	201	375	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>				<b>\$ 0</b>

### **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total 183	- 183	= 0	x \$ 18	= \$ 0
Ind. 23	- 23	= 0	x \$ 84	= \$ 0
Multiple Dependent Claims			x \$	= \$
<b>Subtotal (2)</b>				<b>\$ 0</b>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## **FEE CALCULATION (Continued)**

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	410	216	205	Extension for reply within 2 <sup>nd</sup> month	\$
117	930	217	465	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,450	218	725	Extension for reply within 4 <sup>th</sup> month	\$
128	1,970	280	985	Extension for reply within 5 <sup>th</sup> month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,300	241	650	Petition to revive - unintentional	\$
142	1,300	242	650	Utility/Reissue issue fee (+ advance copies)	\$
143	470	243	235	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	750	279	375	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
<b>Subtotal (3)</b>					<b>\$ 0</b>
<b>Total Amount of Payment:</b>					<b>\$ 0</b>

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

Telephone: (206) 903-8787

Signature:

*Steven H. Arterberry*

Date: 1/25/03



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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

14 May, 2003  
Date

TECHNOLOGY CENTER B3705  
Yekaterina Geyfman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	: Scott E. Moore	Attorney Docket No.:	500170.05
Serial No.	: 09/782,892	Group Art Unit	: 3723
Filed	: February 13, 2001	Examiner	: Maurina T. Rachuba
Title	: APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA USED FOR CHEMICAL-MECHANICAL PLANARIZATION		

**REQUEST TO HAVE PREVIOUSLY-FILED SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT MADE OF RECORD**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests that the enclosed Supplemental Information Disclosure Statement, which was originally filed on January 24, 2003, be considered and made of record in the above-identified patent application.

During a review of the file for the above-identified application, applicant's representative discovered that a signed copy of the PTO-1449 filed on January 24, 2003, has not been received from the U.S. Patent and Trademark Office. In a telephone conference with Examiner Rachuba on May 14, 2003, Examiner Rachuba stated that a request for consideration of the Supplemental Information Disclosure Statement should be filed. Enclosed is a copy of the Supplemental Information Disclosure Statement and cited references, and a date-stamped

postcard indicating that the Supplemental Information Disclosure Statement was received by the U.S. Patent and Trademark Office on January 30, 2003.

The Examiner is encouraged to contact the undersigned attorney at (206) 903-8785 should there be any questions regarding this request.

Applicants believe that no fee is due with this communication. Should a fee be required, the Commissioner is authorized to charge said fee to Deposit Account No. 50-1266.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

SHA:pep

Enclosures:

- Postcard
- Copy of Request
- Copy of Supplemental IDS filed January 24, 2003
- Copy of Form PTO-1449 filed January 24, 2003
- Copy of References filed January 24, 2003
- Copy of Date-Stamped Return Receipt Postcard

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101  
Direct Dial (206) 903-8785  
Facsimile (206) 903-8820

h:\ip\documents\clients\micron technology\100\500170.05\500170.05 req to consider ids filed 012403.doc



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

Date January 24, 2003

Stephanie Jansen  
Stephanie Jansen

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott E. Moore

Attorney Docket No.: 500170.05

Serial No. : 09/782,892

Group Art Unit : 3723

Filed : February 13, 2001

Examiner : Maurina T. Rachuba

Title : APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA  
USED FOR CHEMICAL-MECHANICAL PLANARIZATION

TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

The instant Application is a divisional of U.S. Patent Application No. 09/387,063, filed August 31, 1999. Applicant also wishes to make known to the Patent and Trademark Office that an applications, Serial Nos. 09/782,902, 09/782,893, 09/782,914, 09/782,913 and 10/286,064, describing and claiming subject matter that is similar to the subject matter of this application, were filed on February 13, 2001, excepting Serial No. 10/086,064 which was filed October 31, 2002. These related applications, of which Serial Nos. 09/782,902, 09/782,893,

*Duplicate accepted  
Mail date = 1/30/03  
to mail date = 1/24/03*

*Stephanie Jansen  
Special Program Examiner  
Group 3700  
7/2/03*

09/782,914 and 09/782,913 are divisionals of above-referenced Application No. 09/387,063, filed August 31, 1999, and Serial No. 10/286,064 is a continuation of Application No. 09/782,902, filed February 13, 2001, as well as any prior art cited therein, may be material to the examination of this application. The specification, claims, abstract and drawings of these related applications as filed are identical to those currently on file in the instant application as filed, therefore duplicate copies are not submitted herewith.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

SHA:sj

Enclosures:

Postcard  
Fee Transmittal Sheet (+ copy)  
Form PTO-1449  
Cited References (3)

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